UP Compliance Officer Boot Camp
Wednesday, November 1st - 9:30 am – 4:00 pm
Hampton Inn – Marquette MI ***$100 per person***

9:30 – 9:45 Welcome

9:45-11:00 am –The Basics

- Practical application of compliance tools and resources to your job
- The most popular requests/duties you will face
- Why it's important to have a process in place

- How to thoroughly research a question/issue
  - Deposit related
  - Lending related
  - Best resources to use

11:00 – 11:15 am - Break

11:15 am – 12:15 pm - Reviewing marketing pieces

- Suggested process to put in place
- Review of examples - *Attendees* – feel free to bring a sample to review in class
- Best resources to use
- Key questions to ask to remain efficient
- Branch signage review
- Creating a process for review of new products/services/advertisements etc.

12:15 – 1pm - Lunch

1:00 – 2:00 pm - Tracking and implementing a regulatory change

- Best resources to use

2:00 – 3:00 pm – Annual training

- MLO training - Our suggested "must haves" (Fair Lending)
- BSA/OFAC – Staff and Board (template for training your own staff)
- SAFE Act
- Other required trainings

3:00 – 3:30 pm – Managing your compliance program

3:30 – 4:00 pm – Wrap up/Q & A

Presented by Michigan Solutions Compliance Consulting Team

Amy Wargo | Jenn Walker | Brad Willett